# **Emerging Issues in Color: Growing International Challenge**

2018 Global Color Conference

Arlington, VA

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### Decernis: Research + Systems for Global Compliance

- Focus: Food, Consumer- and Chemical Product Compliance
- 6,000 users across 94 countries and 600+ clients
- Regulatory coverage of 212 countries
- Clients:
  - 50 Governments (e.g. US, EU, Health Canada, China, India, Japan)
  - 400+ Upstream manufacturers
  - 100+ Downstream manufacturers, processors & retailers
  - Others: Law firms, laboratories, associations, universities
- Founded 2003

**Decernis Compliance Management** 

**Platforms** 









All tied together by our Risk Management Dashboard for easy access and seamless monitoring

#### **Horizon Scanning**

#### **Daily Risk Monitoring:**

Automatically tracks relevant, global regulatory notifications, scientific opinions, product recalls and warning announcements.

Track substances, flag events and push info to others.

#### gComply

REGULATORY REFERENCE

#### **Global Library:**

Web-based regulatory reference database and library containing over 90,000 regulations across 212 countries.

#### **gComply Plus**

ENTERPRISE COMPLIANCE

#### **Product Analysis:**

Rule-based, intelligent, automated compliance analysis and reporting system; integrates with a company's PLM and ERP systems.

# **Supply Chain Management**

#### **Supplier Risk Monitoring:**

Manages all compliance documents including questionnaires, certificates, SDSs, lab results etc., to identify missing or out-of-date documents.



### **Emerging Issues**

- 1. Global and Regional Harmonization & Challenges
- 2. Consumer Pressure
- 3. Blogs & interest groups replacing regulation
- 4. Enforcement challenges
- 5. Focus on "Natural"

### **Food & Beverage Challenge:**

- 200+ Countries
- 40 Major Languages
- <u>3,172</u> Food Additive/Standards
  Regs/Drafts/Notices/ Amendments across <u>117</u>
  countries since <u>1 Jan 2018(!)</u>
  - EU 471 (includes 194 EFSA reports and opinions)
  - USA **412** (includes warning letters and 243 recalls)
  - Canada **283** (includes 234 recalls!)
  - California 109
  - Korea 121
  - China 108
  - UK 105
  - India 86

Taiwan 81

Australia 58

Hong Kong 55

Codex 53

Russia 47

Japan 46

Kenya 43

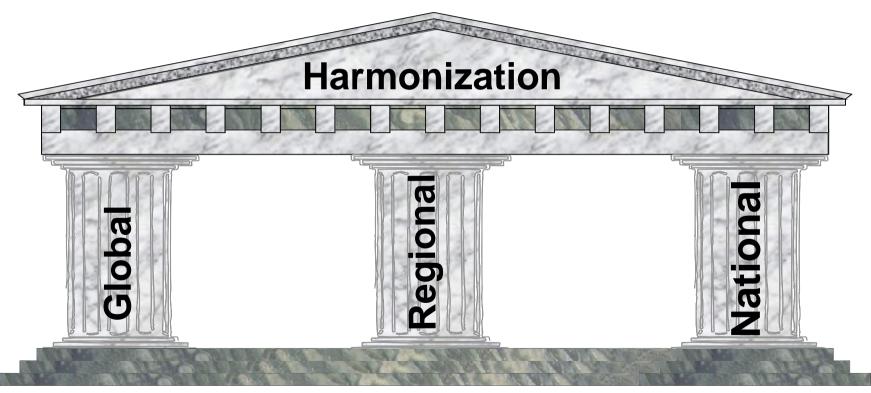
Thailand 43

### = Not Enough Harmonization

# 1. Harmonization Why Harmonize Food Additives?

- Today: Lists, food categories, usages and specifications are non-harmonized making international trade of food-related products difficult & expensive.
- Major developed markets such as the EU, US and Japan have had detailed food regulatory systems in place for years: non-harmonized
- More "Mosaic" = Less Safety!

### So, what does Harmonization mean?



### **Harmonization Efforts**

- Codex Alimentarius (116 Countries)
- European Union (52 Countries)
- Eurasian Economic Union (5 Countries)
- SIECA (8 Countries)
- Mercosur (6 Countries)
- GCC (6 countries)
- NOT YET: ASEAN (10 Countries)

# C O D E X International Food Standards

#### ALIMENTARIUS





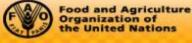
- Established 1963 (FAO & WHO)
- Today:
  - 187 Member countries + 1 Int. Org (EU)
  - 240 Codex Observers
  - 56 IGOs
  - 168 NGOs
  - 16 UN
- Primary Goal: Develop harmonised international food standards, guidelines and codes of practice



### ALIMENTARIUS



World Health Organization



	Acronyı	n Codex Committee	ld	Doc Ref	Host Country
	CCCF	Contaminants in Foods	CX-735	CX/CF	NL
	CCFA	Committee on Food Additives	CX-711	CX/FA	CN
	CCFH CCFICS	Food Hygiene Food Import and Export Certification and Inspection Systems	CX-712 CX-733	CX/FH CX/FICS	US AU
	CCFL	Food Labelling	CX-714	CX/FL	CA
,	CCGP	General Principles	CX-716	CX/GP	FR
	CCMAS	Methods of Analysis and Sampling	CX-715	CX/MAS	HU
	CCNFSDU	Nutrition and Foods for Special Dietary Uses	CX-720	CX/NFSDU	DE
	CCPR	Pesticide Residues	CX-718	CX/PR	CN
	CCRVDF	Residues of Veterinary Drugs in Foods	CX-730	CX/RVDF	US

### **JECFA**

JECFA (Joint FAO/WHO Expert Committee on Food Additives) is the scientific advisory body of FAO/WHO.

### Responsible for:

- Establishing specifications for identity and purity of individual food additives
- Determining safe levels of use
- Setting standards of consumption and acceptable daily intakes (ADIs) for food additives specified in Codex Alimentarius (see CSFA below)



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### **Additives**

Codex STAN 192-1995 General Standard for Food Additives

### **Labelling/Claims**

- General Standard for the Labelling of Prepackaged Foods (CODEX STAN 1-1985)
- Codex Standard for Labelling of and Claims for Foods for Special Medical Purposes (CODEX STAN 180-1991)
- Guidelines on Nutrition Labelling (CAC/GL 2-1985)
- General Guidelines on Claims (CAC/GL 1-1979)
- Guidelines for Use of Nutrition and Health Claims (CAC/GL 23-1997)

#### ALIMENTARIUS





Food and Agriculture Organization of the United Nations

### **Countries which directly follow Codex Additives (96)**

Afganistan	Cameroon	Iran	Nauru	Solomon Islands
Angola	Cape Verde	Iraq	Nicaragua	South Sudan
Anguilla	Cayman Islands	<b>Ivory Coast</b>	Niger	Sudan
Antigua & Barbuda	Congo	Jamaica	Nigeria	Suriname
Azerbaijan	Costa Rica	Jordan	Oman	Swaziland
Bahamas	Cuba	Kuwait	Pakistan	Syria
Bahrain	Curacao	Laos	Panama	Tanzania
Bangladesh	Dominica	Lebanon	Papua New Guinea	Tajikistan
Barbados	<b>Dominican Republic</b>	Libya	Paraguay	Togo
Belize	El Salvador	Macau	Peru	Tonga
Benin	Eritrea	Malawi	Qatar	Trinidad/Tobago
Bermuda	Ethiopia	Maldives	Rwanda	<b>Turks and Caicos</b>
Bhutan	Georgia	Mali	Saint Lucia	Turkmenistan
Bolivia	Ghana	Mauritania	Saint Vincent and the	UAE
Botswana	Grenada	Mongolia	Samoa	Uganda
<b>British Virgin Islands</b>	Guatemala	Mozambique	Saudi Arabia	Vanuatu
Brunei	Guyana	Myanmar	Senegal	Yemen
Burkina Faso	Haiti	Namibia	Seychelles	Zambia
Burundi	Honduras	Nepal	Sierra Leone	Zimbabwe
Cambodia				

## C O D E X International Food Standards

### ALIMENTARIUS



### If no local regulation for individual substance, follow Codex (20)

**EXAMPLE - Argentina:** Article 2 of Decree §2092/1991 states: "... all foods, condiments, beverages, or their raw material and food additives which are manufactured, fractioned, preserved, transported, sold, or exposed, must comply with the CAA requirements. ... GOA also considers products from countries which have food controls comparable to those of Argentina, or when they use the *Codex Alimentarius (FAO/OMS)* standards, to be in compliance with Argentine standards."

Algeria	Fiji	Peru	South Africa
Argentina*	Indonesia*	<b>Philippines</b>	Thailand*
CARICOM	Kazakhstan	Serbia	Uzbekistan*
Colombia	Kenya	SIECA	Venezuela
Ecuador	Morocco*	St. Kitts	Vietnam

\* = If country does not regulate a substance which is approved by Codex, additive manufacturer must request and obtain <u>permit</u> <u>approval</u> before using.

### **Codex Step Process**

### Codex has an 8-Step Process with 8 = Adoption into GFSA

46 colors currently have draft status and/or final adopted provisions in GSFA:

### MANY Colors are caught in either Step 4 or Step 7:

- Step 4: Draft text has been prepared, circulated to member countries and observers for comment, still awaiting review at Committee level before being sent to the Commission for review
- **Step 7** Additives already endorsed by Commission, agreed to be put forth for finalization = simply awaiting finalization by the Committee.

# C O D E X International Food Standards

#### ALIMENTARIUS





### **Colorants caught in Codex Step Process**

**INS 123 Amaranth** 

INS 160b(i) Annatto extracts, bixin-based

INS 160b(ii) Annatto extracts, norbixin-based

**INS 122 Azorubine (Carmoisine)** 

**INS 162 Beet red** 

**INS 151 Brilliant black (Black PN)** 

**INS 155 Brown HT** 

**INS 150a Caramel I – plain caramel** 

**INS 150b Caramel II - sulfite caramel** 

**INS 140 Chlorophylls** 

INS 100(i) Curcumin

Source: FA/50 INF/01 - Table One (Mar 2018)

38 usage categories at Step 7

ca. 90+ usages at Step 4

ca. 90 usages at Step 4

55 usages at Step 7

23 usages at Step 7

59 usages at Step 7

58 usages at Step 7

19 usages at Step 7

Mostly at Step 4

21 usages at Step 7

68 usage categories at Step 7

# C O D E X International Food Standards

#### ALIMENTARIUS



### **Colorants caught in Codex Step Process**

INS 161b(i) Lutein from Tagetes erecta Mostly at Step 4

INS 160d(iii) Lycopene, Blakeslea trispora Mostly at Step 4

INS 160d(i) Lycopene, synthetic Mostly at Step 4

INS 160d(ii) Lycopene, tomato Mostly at Step 3 & Step 4

INS 160c(ii) Paprika extract Mostly at Step 2

INS 104 Quinoline yellow 57 usages at Step 7

INS 128 Red 2G 4 usages at Step 7

INS 102 Tartrazine 62 usages at Step 7

INS 171 Titanium dioxide 18 usages at Step 7

INS 161h(i) Zeaxanthin, synthetic Mostly at Step 4

#### ALIMENTARIUS



#### Codex is NOT intended as a Positive List:

**GENERAL STANDARD FOR FOOD ADDITIVES** 

**CODEX STAN 192-1995** 

Adopted in 1995. Revision 1997, 1999, 2001, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018

#### P. 2 Footnote 1:

Notwithstanding the provisions of this Section of the General Standard, the lack of reference to a particular additive or to a particular use of an additive in a food in the General Standard as currently drafted, does not imply that the additive is unsafe or unsuitable for use in food. The Commission shall review the necessity for maintaining this footnote on a regular basis, with a view to its deletion once the General Standard is substantially complete.



#### ALIMENTARIUS



### **Advantages**

- Brings everybody to the table
- Transparency: Freely, electronically available
- Arabic, Chinese, English, French, Spanish, Russian
- Makes it possible for less advanced countries to catch up in a hurry

### **Disadvantages**

- Political
- Glaciers sometimes move faster
- Purely voluntary
- US, EU will never agree to drop 50 years of legislation

### **European Union: 27.5 Countries**



### EU 27.5 + other countries (24)



#### + Candidate Members

• Turkey + Albania, Iceland, Macedonia, Montenegro, Serbia

#### + EEA Members

- Iceland
- Lichtenstein
- Norway

#### + "Under the influence"

- EU: Bosnia & Herzegovina, Kosovo
- ES: Canary Islands
- FR: French Polynesia, French Guiana, Guadeloupe, Martinique, Mayotte, Reunion, Saint-Martin
- NL: Aruba, Curação and Sint Maarten
- PT: Azores, Madeira



### **Cornerstone Regulations**



#### Additives

Regulation (EC) No 1333/2008 on Food Additives

### Labelling/Claims

- Regulation (EC) No 1924/2006 on Nutrition & Health Claims
- Regulation (EU) No 1169/2011 on the Provision of Food Information to Consumers
- Public EU Register of Nutrition and Health Claims









### **European Union**



### **Advantages**

- Directives -> Regulations = Single European Standard
- Absolute consistency in theory
- (Lex Britannia!)

### **Disadvantages**

- Precautionary Principle cf. hyperactivity
- Much more restrictive usages and maximum limits than US







### **Public Pressure**

- Example: Southampton 6
- Headlines
- NGOs
- Bloggers
- "I want natural"

## **Southampton Six Case Study**



**Hyperactivity** – "A pattern of behaviour showing marked individual differences in the general population and comprises overactive, impulsive and inattentive behaviour."

A wide range of contributing factors act in concert to increase the degree of hyperactivity shown by a child:

- Genetic influences
- Experiential influences
- Environmental influences

Do food colourants?

### **Southampton Six Case Study**



- Commissioned by UK Food Standard Agency (FSA)
- Study carried out by researchers at University of Southampton, UK
- Published in 3-9 November 2007 issue of the Lancet:
  - Food additives and hyperactive behaviour in 3-year-old and 8/9-year-old children in the community: a randomised, double-blinded, placebo-controlled trial

Donna McCann, Angelina Barrett, Alison Cooper, Debbie Crumpler, Lindy Dalen, Kate Grimshaw, Elizabeth Kitchin, Kris Lok, Lucy Porteous, Emily Prince, Edmund Sonuqa-Barke, John O Warner, Jim Stevenson

Lancet 2007; 370: 1560-67

### **Southampton Six: Mix Contents:**

E Number	US Label	Name of Additive	Mix A	Mix B
E102 Colour	Yellow 5 (FD&C)	Tartrazine	✓	×
E104 Colour	Yellow10 (FD&C)	Quinoline yellow	×	✓
E110 Colour	Yellow6 (FD&C)	Sunset Yellow	✓	✓
E122 Colour	14720 (CI#)	Carmoisine	✓	<b>✓</b>
E124 Colour	16255 (CI#)	Ponceau 4R	✓	×
E129 Colour	Red40 (FD&C)	Allura Red AC	×	✓
E211 Preservative		Sodium benzoate	✓	<b>✓</b>

### **Southampton Six Case Study**



The EFSA Journal (2008) 660, 1-53

### **European Food Standards Authority**

"Panel concludes that the McCann et al. study provides limited evidence that the two different mixtures of synthetic colours and sodium benzoate tested had a small and statistically significant effect on activity and attention in children selected from the general population excluding children medicated for ADHD....."

After its initial review of the study, EFSA issued an Opinion that this study gave no grounds for changing the ADI of any of the colors.

EFSA Finding: "There is no evidence to support that these substances cause hyperactivity."

EU Commission overruled EFSA and imposed mandatory labelling "may have an adverse effect on activity and attention in children" – mostly because of the sensationalist press in the UK.

### **Southampton Six Case Study**



#### Results

**Summary Interpretation:** One or more of the artificial colours **or** a sodium benzoate preservative **(or both)** in the diet result in increased hyperactivity in 3-year-olds and 8/9-year-olds.

#### The Study was roundly criticized by Scientific Community. ID's Flaws:

- Done by sociologists, not food scientists
- Which ones actually caused hyperactivity? Impossible to tell.
- Maybe it was sodium benzoate?
- **Result:** Today using 'natural' additives sometimes with little data to validate safety

### **Headlines**



## **Blogs**









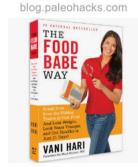


The Problem with Food Additives



DECERNIS

5 Sneaky Food Additives To Avoid ...



Food Babe - Welcome to F... foodbabe.com



common food additives that you ...

**Emerging Issues in Color** 



### Related: What is a BAN?

Coloring agents can be found in almost any processed food; candy, mac and cheese, Cheddar-flavored crackers, Jell-O... the list goes on. Not all coloring agents are harmful, but some, including blue #1 and #2, yellow #5 and 6, and red #40, have raised specific health concerns, after research showed they can cause behavioral problems, cancer, birth defects, and other health problems in laboratory animals. Yellow #6, found in many boxed mac and cheese's, has also been shown to cause hypersensitivity in children. These artificial food dyes are banned in Norway and Austria, and the European Union requires a warning notice on most foods containing dyes.

Ragged University is a project where the community creates events in social spaces and we get to learn from each other in relaxed ways. ... The Ragged University project is about learning from all the traditions of free education and making them live through practice.

About Ragged... | Ragged University

https://www.raggeduniversity.co.uk/about/



#### Related: What is a BAN?

From: cecilie.svenning@mattilsvnet.no[mailto:cecilie.svenning@mattilsvnet.no]

Sent: Friday, December 08, 2017 4:04 PM To: Larisa Bato < lbato@decernis.com>

Subject: Regulations on food additives - Kontaktskjema mattilsynet.no - 28112017 -

04:17:42

Dear Larisa Batotsyrenova

No food additive has been actively banned or prohibited in Norway since the EEAagreement. Our legislation is fully harmonized with the legislation in the EU. Due to this we do not actively ban food additives that are authorized in the EU.

Yours Cecilie Svenning Head office Norwegian Food Safety Authority

#### Norway and Food Additives

Source: Norwegian Food Safety Authority 15/05/2006







12 May 2006 - Norway used to regulate colourings, sweeteners and nitrite/nitrate different than the EC countries. In 2001 Norway implemented the EC Directives on food additives, and today the there is no difference between the food additives legislation in Norway and the EC Member States.

#### Current situation

Norway has implemented all current EC Directives on food additives into our national legislation (regulation 21 December 1993 No 1378 on food additives for use in foodstuffs).

This means that all food additives authorised for use in an EU Member State are authorised for use in Norway as well. There is a delay whenever an amendment to the EC food additives legislation is adopted in the EC before it gets to be a part of the Norwegian legislation. This is due to procedure. The EC legislation must be adopted by the EEA Committee before it can be part of the Norwegian legislation.

There is however a difference in the structure of the legislation. The EC Directives are organized by substances whilst the Norwegian regulation is organized in food categories. The food categories are. with a few exceptions, similar to Annex B Food Category System - of the Codex' General Standard for Food Additives (CX STAN 192).

Before the implementation of the EC Directives the Norwegian Scientific Committe for Food reviewed the risk assessments and more recent studies for the food additives Norway where had some concerns. In general the EC legislation were considered to be acceptable. However, Norway asked for some exceptions and they are described later in this text.

#### Previous situation

Before the implementation of the EC Directives on food additives the Norwegian legislation deviated from the EC directives in four main areas: added/residual amonts, colourings, sweeteners and nitrite/nitrate.

#### Added/residual amounts

Norway regulated added amounts of food additives in food, whilst the EC legislation generally regulate the residual amounts in the final food. In general residual amounts in the food is more convenient both for the industry and the authority to manage, but not for nitrite/nitrate.

Colourings



### **Related: Natural**

- Consumers want NATURAL
- Chemicals sound dangerous!
- Misunderstanding GMP and need for additives

Use of the term "natural color" is prohibited on an ingredient statement because, regardless of the source of the color, FDA regulations do not consider any added color to be natural unless the color is "natural to" the food product itself = ice cream using strawberry juice.

#### Common Exemptfrom-Certification Color Additives

Annatto extract Astaxanthin Beet juice Beta carotene Beta-apo-8' caroteneal Canthanxanthin Caramel Carmine/Cochineal Dehvdrated beets (beet powder) Fruit juice Grape color extract Grape skin extract Paprika oleoresin Riboflavin Saffron Sodium copper chlorophyll Spirulina extract Synthetic iron oxide Titanium dioxide Tomato lycopene Turmeric oleoresin Vegetable juice

Figure 1

### **Enforcement**

- Regulators and Enforcement agencies are sometimes different
- Customs skepticism toward colorants in food
- Non-Tariff Barriers masquerade as safety issues
  - Local testing requirements
  - Standards of Identity
  - Artificially low limits
  - Conformance with Food Chemicals Codex
- Religious issues pop up

### **Chasing Zero**

#### Today ultra small amounts of contaminants are traceable:

- 1 PPM = one word in all 7 books of Harry Potter
- 1 PPB = 1 second of time in 32 years

### **Dose-Response**

# **Paracelsus** (1493-1541)

"All substances are poisons; there is none which is not a poison. The right dose differentiates a poison from a remedy."

 Any substance, naturally occurring or man-made, is likely to produce some form(s) of harmful effect, if taken in sufficient quantity.



# Thank you!

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