

EU chemical regulations and nanomaterials

IACM

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Regulatory framework for chemicals in the EU



- Regulations are largely use based.
- REACH covers all uses not covered by other regulations.
- No nano-specific regulation.
- Each regulation may require nanomaterials to be assessed differently to bulk forms

Definition of nanomaterial

- Nanomaterial (draft):
 - *A natural, incidental or manufactured material containing particles, in an unbound state or as an aggregate or as an agglomerate and where, for 50 % or more of the particles in the number size distribution, one or more external dimensions is in the size range 1 nm - 100 nm.*
- Nanoform (ECHA, RIVM, JRC (2016))
 - *The term to distinguish forms of a substance that fulfil the EC Recommendation on the definition of the term 'nanomaterial' but differ with regard to size distributions, shape and/or surface chemistry."*

Revision of definition and other definitions

- Revised definition under consultation
 - Largely unchanged from draft
 - May introduce more detail – e.g. $SSA < 5 \text{ m}^2/\text{cm}^3$ to define a non-nanomaterial
- Unlikely to be in place before revision of REACH in 2020.
- Applicable to REACH and BPR.
- Different definitions in other regulations
 - Cosmetics – Specifies insoluble particles
 - Plastic Food Contact Materials – Specifies deliberately manufactured particles

REACH and Nanomaterials – Past and Current

- Each registrant should characterise their substance to ensure that the hazard/exposure/risk assessment in the dossier applies to their product.
- Some substances may be further identified by other parameters (Guidance for identification and naming of substances, Section 4.2.3)
 - Particle size mentioned as a possible parameter.
 - Whether or not a substance is a nanomaterial is a possible parameter.
- Substance identification should be sufficient to assess whether the data in the registration dossier is applicable.

Difficulties around identification and characterisation of nanomaterial

- Definition based on:
 - Primary particle size
 - Distribution by number distribution
 - Shortest dimension
- Many analytical methods do not measure these parameters
- Group of methods will be required
- Good sample preparation will be essential

Hazard endpoint testing

Physical and chemical

- Water solubility vs dispersion of particles
- Partition co-efficient not relevant, use agglomeration or adsorption behavior instead?

Environmental fate

- Biodegradation – is it applicable?
- Changes to surface characteristics
- Transformation between nanoforms

Toxicology

- Avoid false positives/negatives
- Ames test not applicable
- Lung burden overload may lead to false positives in inhalation toxicity

Chemical Safety Assessment

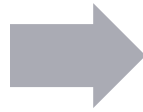
Eco-toxicology

- Solution vs suspension
- Insolubility waiver for aquatic toxicity studies not appropriate

Board of Appeal Decision

ECHA decision

- Registrants of titanium dioxide should include details of all nanoforms as part of substance characterisation.



Industry (Registrants) Appeal

- This would mean nanoforms of a substance had additional requirements to bulk forms. This is not in line with Annex VI of REACH.



Board of Appeal (BoA) Decision

- BoA found in favour of registrants BUT it was highlighted that it should be shown that data in the dossier is applicable to the substance placed on the market.

Revision of REACH

- Proposed revision of Annexes of REACH under consultation
- Will introduce “nanoform” and “set of similar nanomaterials” into regulation
- Expected to be in place in January 2020

Key points in proposed revision to REACH – ‘Nanoform’

- **Nanoform**
 - Meets the definition of nanomaterial but differ by physical or chemical parameters
 - Size/shape/surface functionalisation/other parameter
 - Intentional different parameter = new nanoform
 - Inter-batch variability with a grade does not constitute a new nanoform
- **Set of similar nanoforms**
 - Different phys/chem parameters BUT same toxicological and ecotoxicological profile
 - Only one set of chemical characterisation and hazard endpoints required for a set
 - Limits must be scientifically justified
 - Read across to other sets encouraged but must be scientifically justified

Key points in proposed revision to REACH - Characterisation

- Particle characterisation will become compulsory for each set of nanoforms. It will require as a minimum
 - Particle size distribution
 - Surface functionalisation
 - Shape/aspect ratio/other morphological features
 - Surface area
- Will probably be needed for ALL powders including historically registered substances
 - Dossier updates would be required

Key points in proposed revision to REACH – Endpoint testing

- Required endpoints depends on tonnage of substance
 - Sum of all nanoforms and bulk forms
- Value for each endpoint required for each similar set of nanoforms
- Grouping and read-across will be essential to reduce testing and cost

Key points in proposed revision to REACH – other impacts

- **Safety Data Sheets**
 - Might require SDS to include information on different nanoforms
 - Exposure Scenarios for nanoforms could be needed
- **Downstream Users**
 - Downstream users who functionalise nanomaterials (e.g. oxidise MWCNT) might need to do their own risk assessments

Proposal for harmonised classification by French CA

- Harmonised classification of Carcinogen 1B, H350i proposed in May 2016
- Justification
 - Registration dossier makes no distinction between crystal forms and particle size
 - 4 studies (2 inhalation + 2 installation) showed tumour formation
 - Existing IARC classification as Carc 2B
 - Mode of Action relevant to all forms identified

Response from Industry

- 514 responses during consultation
- Much disagreement with proposal
 - Substance has been used since 1923 with no epidemiological adverse results
 - Toxicity studies were limited and of poor quality
 - Interspecies (rat to human) extrapolation unjustified
 - Classification based on the non neo-plastic effects (inflammation) should be applied instead
 - Adverse effect is not substance related

Committee for Risk Assessment Opinion

- Recommended classification of Carc 2 (H350i)
- Proposed that a note be applied that forms with particle shape or surface coating could have a more severe classification or be classified through an additional route of exposure

Committee for Risk Assessment Opinion

- **Why not Carc 1B?**
 - Some studies invalid due to excessively high doses
 - No positive epidemiological studies
 - Some tumours seen are from mechanisms unique to rats

Committee for Risk Assessment Opinion

- Why still classify as carcinogen?
 - Epidemiological studies would probably not detect cases possibly caused by titanium dioxide exposure
 - BMD10 = 5 – 25 mg/m³; Exposure = 0.7 mg/m³ = 0.3 – 1.4 % increase risk
 - Lung cancer occurrence = 5 – 7 %; increased risk would not be detected. Cannot overrule animal studies
 - Guidelines recommend that studies include dose that delays clearance
 - Results are not unique to rats
 - Evidence can only be discounted if MOA conclusively determined not to be relevant to humans

Activities since RAC Opinion

- CARACAL deferred decision
- Notes to classification through Annex VI suggested (and rejected by others)
- Suggestion of Annex II labelling derogation for liquid suspensions (non-inhalable products).
- More discussions!
- Next CARACAL meeting End of Nov

Further impacts from classification

- Mode of action suggested
 - Low solubility/biopersistence → pulmonary inflammation → Reactive Oxygen Species → secondary cytotoxicity/cell proliferation → tumour
- Mode may be common to all PSLT (Poor Solubility Low Toxicity) substances
- Will all PSLT substances be classified the same?
 - E.g. Talc, carbon black, zirconium dioxide
- Could be difficult to prove and raise many legal issues

Impact of Classification change

- **Carc. 1B**
 - Mixtures containing > 0.1 % must be classified as Carc 1B
 - Substance listed on Annex VI of CLP are restricted from use in consumer products
- **Carc. 2**
 - Mixtures containing > 1 % must be classified as Carc. 2
 - Restriction on use in consumer products does not apply

Other EU regulations

- Food related (see next slides)
- Biocidal Products Regulation
 - An active substance in a nanoform must be authorised separately to the same substance in the bulk form.
- Cosmetics Regulation
 - Nanomaterials as cosmetic ingredients must be assessed separately to bulk forms of the same substance if they perform certain roles in the cosmetic.
 - The ingredients list must show that a substance exists as a nanomaterial.
- Medical devices
 - Degree of risk assessment required depends on likelihood of release of nanomaterials from the device.

Feed Additives

- EFSA decide if feed additive is safe based on a submitted technical dossier
- Dossier should contain
 - Conditions of Use
 - Additive and active substance characterisation
 - Stability and homogeneity
 - Speed of degradation in digestive tract key aspect of risk assessment and testing strategy – Use bulk data
 - Inhalation by animal and worker safety must be assessed
- No application for nano-additive has yet been made

Novel foods regulation (2015/2283)

- Different definition to recommended REACH definition
 - May include substances > 100 nm
- Novel food – any food not used for human consumption to a significant degree before 15 May 1997 inc. food or vitamins/mineral/other consisting of engineered nanomaterials
- No advice regarding different nanoforms....yet!

Provision of food information to consumers

- Same definition as novel food regulation
- Ingredients present as engineered nanomaterial must be labelled with “(nano)” following the name in the list of ingredients.

Food Contact Materials Regulations

- Plastic Food Contact Materials
- Active and Intelligent Food Contact Material
- Common aspects
 - Definition includes a reference to “deliberate” manufacture.
 - Substances on Union list can be used as active substance or authorisation can be sought from EFSA (not yet established!).
 - Nanoparticles must have an authorisation on a case by case basis.
- No reference to different nanoforms but coating has been including in the name of coated substances in the Union list.
- The functional barrier derogation does not apply to nanomaterials

French ban on use of E171 in foods

- Jun 2016 – EFSA state that TiO_2 is safe for use but highlights datagaps
- Jan 2017 – INRA publish report indicating development of non-malignant, pre-tumorous damages in the colon of rats fed with TiO_2 nanoparticles
- May 2018 – French announces that the use of E171 (titanium dioxide) in food products will be suspended
- June 2018 – EFSA re-evaluate TiO_2 based on 4 new studies. Concluded that opinion did **not** need to change

Impacts of Brexit on chemical regulations

- Still no idea what is going to happen!
- Potential outcomes
 - “Chequers Plan” – Government’s preferred option
 - No deal
 - Brexit delayed or postponed
 - Alternative plan (after Chequers rejected or after general election)

Impact of Chequers on chemical regulation

- Regulations still apply during Withdrawal period
- UK maintains links with ECHA and REACH still applies in UK
- Not clear whether regulatory divergence could occur
- EU do not think links with ECHA easy to maintain (but they are looking to have links with third-parties)
- Impacts on new trade agreements

Impact of No Deal on chemical regulation

- All UK registrations/authorisations will no longer exist
- New registrations will be required for new UK regulations
- Initially UK REACH will mirror REACH
- Regulatory divergence possible
 - Impacts of conflicting trade agreements

Impact of other Brexit directions

- Brexit delayed/postponed
 - Everything stays the same?
 - Will EU allow this?
- Other outcomes
 - UK REACH will mirror REACH initially
 - Beyond that....who knows?!?

Thank you!

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